

# Spontaneous Processes as Decrease of Information(s)

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# Jaynes' "MAXENT Principle"

"In making inferences on the basis of partial information we must use that probability distribution which has maximum entropy subject to whatever is known. This is the only unbiased assignment we can make; to use any other would amount to arbitrary assumption of information which by hypothesis we do not have."



Jaynes, circa 1995

# What about processes?

Jaynes' MAXENT provides an information-theoretic “justification” for the Gibbs distribution (as the “minimally committing” inference possible, given the observations)

## Question

Can we “justify” in the same information-theoretic way also spontaneous processes, namely, **spontaneous transitions between different microstate distributions?**

# Thermodynamics vs Infodynamics

- **thermodynamical viewpoint**: spontaneous processes are exactly those associated with a decrease of the free energy contained in the system
- **information-theoretic viewpoint**: spontaneous processes are exactly those associated with a decrease of the information contained in the system

## In search of a rigorous link

Can we *infer* spontaneous thermal processes as exactly those that never increase “information”? And **what is “information” about** in this case?

# Information: one or many?

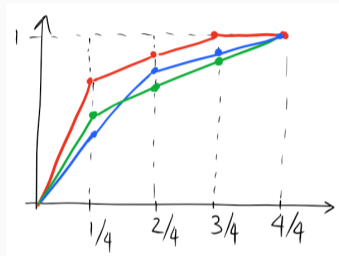
- Jaynes clearly considers information to be a **totally ordered quantity**, i.e., he measures it by the (neg-)entropy
- however, when looking at (microstate) distributions, **information often takes on a multi-faceted form**, its “content” depending on its “use”
- indeed, in mathematical statistics, information is only a **partially ordered quantity** and **there exist many incomparable information contents** associated with the same probability distribution



Vishvarupa (Vishnu)

# Example: majorization

- given are **two probability distributions**,  $p$  and  $q$ , of the same dimension  $d$
- consider the **truncated sums**  
 $P(k) = \sum_{i=1}^k p_i^\downarrow$  and  $Q(k) = \sum_{i=1}^k q_i^\downarrow$ , for all  $k = 1, \dots, d$
- we say that  $p$  **majorizes**  $q$ , in formula,  $p \succ q$ , whenever  $P(k) \geq Q(k)$ , for all  $k$
- remark:  $p \succ q \implies H(p) \geq H(q)$   
 $\nLeftarrow$
- **Hardy-Littlewood-Pólya (1929)**:  
 $p \succ q \iff q = Mp$ , for some **bistochastic** matrix  $M$



The order is only partial: neither  $\text{blue} \succ \text{green}$ , nor  $\text{green} \succ \text{blue}$ .

# Information ordering in mathematical statistics

- given are *two pairs of probability distributions*,  $(p_1, p_2)$  and  $(q_1, q_2)$ , of possibly different dimensions
- reorder their entries such that  $p_1^i/p_2^i \geq p_1^j/p_2^j$ , whenever  $i \leq j$ ; do the same for  $(q_1, q_2)$
- construct the linear spline  $(x_k, y_k) = \left( \sum_{i=1}^k p_2^i, \sum_{i=1}^k p_1^i \right)$  joining  $(0, 0)$  with  $(1, 1)$ ; do the same for  $(q_1, q_2)$
- we say that  $(p_1, p_2)$  is *more informative* than  $(q_1, q_2)$ , in formula  $(p_1, p_2) \succ (q_1, q_2)$ , whenever the spline corresponding to the former is never below that corresponding to the latter
- **Blackwell's theorem for dichotomies (1953):**  
 $(p_1, p_2) \succ (q_1, q_2) \iff q_i = Mp_i$ , for some *stochastic* matrix  $M$



Blackwell in 1999

# Information ordering solves the classical case

- when  $p_2 = q_2 = \gamma$ , i.e., the Gibbs distribution, then the information ordering  $(p, \gamma) \succ (q, \gamma)$  coincides with the order of **thermo-majorization**
- when everything commutes with the Hamiltonian, thermo-majorization is known to be equivalent to the phenomenological order induced by **thermal processes** (Horodecki-Oppenheim, 2013)
- information ordering can be expressed in terms of a family  $\{F_\alpha\}_\alpha$  of **state functions** (or **“free energies”**):  
 $(p, \gamma) \succ (q, \gamma) \iff F_\alpha(p) \geq F_\alpha(q)$  for all  $\alpha$

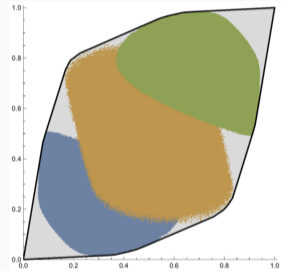


# What about the non-commuting case?

- **first problem**: to define a “quantum information ordering”
- **second problem**: to define “quantum thermal processes”
- **third problem**: given that the above two concepts play well with each other, to find the “quantum free energies”
- **fourth problem**: to provide a **compelling physical interpretation** of the mathematical results

# Tackling the first and the second problem

- given are *two pairs of density matrices*,  $(\rho_1, \rho_2)$  and  $(\sigma_1, \sigma_2)$ , of possibly different dimensions
- a “quantum information ordering” is introduced using the concept of *quantum statistical comparison* (FB, Comm. Math. Phys., 2012) and *quantum relative Lorenz curves* (FB, Gour; Phys. Rev. A, 2017)
- the *thermodynamically relevant case* occurs for pairs  $(\rho, \gamma)$  and  $(\sigma, \gamma)$ , where  $\gamma$  is the thermal distribution (FB, arXiv:1505.00535)
- “quantum thermal processes” are introduced as *thermal operations (TO)* (Janzing et al., Int. J. Th. Ph., 2000; Brandao et al., Phys. Rev. Lett., 2013) or *generalized thermal processes (GTP)* (Gour et al., arXiv:1708.04302)



Quantum relative testing region

# TO vs GTP

Thermal Operations	Generalized Thermal Processes
$\mathcal{E}(\rho_Q) = \text{Tr}_E[V(\rho_Q \otimes \gamma_E)V^\dagger]$	$\mathcal{E}(\rho_Q) = \text{Tr}_E[V(\rho_Q \otimes \delta_E)V^\dagger]$
$[V, H_Q \otimes I_E + I_Q \otimes H_E] = 0$	$[V, H_Q \otimes I_E + I_Q \otimes H_E] = 0$
$\gamma_E$ : thermal state	$\delta_E$ : state commuting with $H_E$
	$\mathcal{E}(\gamma_Q) = \gamma_Q$

**Remark:** the final condition (**Gibbs-preserving condition**) is automatic for Thermal Operations, so we do not need to enforce it explicitly.

# Third problem: second laws for GTP

The problem is to understand when the transition  $\rho \rightarrow \sigma$  is possible with a GTP. Proceed as follows:

- take any third pair of “reference” states  $(\eta_1, \eta_2)$
- construct the bipartite states  $\Omega = \frac{1}{2}(\eta_1 \otimes \rho + \eta_2 \otimes \gamma)$  and  $\Omega' = \frac{1}{2}(\eta_1 \otimes \sigma + \eta_2 \otimes \gamma)$
- take their “twirling time-averages,” i.e.,  
 $\langle \Omega \rangle = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T (\overline{e^{-itH}} \otimes e^{-itH}) \Omega(\dots)^\dagger$  and  $\langle \Omega' \rangle$
- construct the functions (here  $|\varphi^+\rangle$  is the max. entangled state)  
 $f_{(\eta_1, \eta_2)}(\rho) = \max_{\mathcal{D}: \text{cov. CPTP}} \langle \varphi^+ | (\text{id} \otimes \mathcal{D}) \langle \Omega \rangle | \varphi^+ \rangle$

Then,  $\rho \rightarrow \sigma$  is possible with a GTP if and only if

$f_{(\eta_1, \eta_2)}(\rho) \geq f_{(\eta_1, \eta_2)}(\sigma)$ , for all choices of  $(\eta_1, \eta_2)$ .

# Fourth problem: physical interpretation

## Hic Sunt Leones!



# Fourth problem: physical interpretation

$\Omega = \frac{1}{2}(\eta_1 \otimes \rho + \eta_2 \otimes \gamma)$	$\langle \Omega \rangle = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T (\overline{e^{-itH}} \otimes e^{-itH}) \Omega(\dots)^\dagger$
$f_{(\eta_1, \eta_2)}(\rho) \geq f_{(\eta_1, \eta_2)}(\sigma)$	$f_{(\eta_1, \eta_2)}(\rho) = \max_{\mathcal{D}: \text{cov. CPTP}} \langle \varphi^+   (\text{id} \otimes \mathcal{D}) \langle \Omega \rangle   \varphi^+ \rangle$

- with respect to the time-twirling chosen (i.e.,  $\overline{U}_t \otimes U_t$ ), the maximally entangled reference-system state  $|\varphi^+\rangle$  is static, though perfectly correlated: it serves as a **perfect Page-Wootters time-energy relative state**
- any other static bipartite state, like  $\langle \Omega \rangle$ , can be understood as a **“noisy” PaW state**
- hence, the functions  $f_{(\eta_1, \eta_2)}(\rho)$  say how well the noisy PaW state  $\langle \Omega \rangle$  can be “locally covariantly adjusted” to **simulate a perfect PaW state**
- in other words, **each function  $f_{(\eta_1, \eta_2)}(\rho)$  measures a different time-energy information content** of state  $\rho$  relative to  $\gamma$
- in the classical case, we can replace  $|\varphi^+\rangle$  with a classical state perfectly correlated in energy: **time-information is irrelevant in this case**

# Physical interpretation, part two

Summarizing, the family of inequalities

$$f_{(\eta_1, \eta_2)}(\rho) \geq f_{(\eta_1, \eta_2)}(\sigma), \quad \forall (\eta_1, \eta_2),$$

says that the initial state  $\rho$  is always more informative than the final state  $\sigma$ , with respect to all time-energy information contents.

## Take-home message

Quantum GTPs are exactly those associated with a decrease of all the system's time-energy information contents (possibly infinitely many).

**Remark 1.** Classically, time-information is irrelevant: only energy-information matters.

**Remark 2.** Don't worry: GTPs can be decided efficiently (using SDP).

thermodynamics  $\equiv$  time-energy  
information dynamics





# A very incomplete and biased list of references

## MAXENT:

- E.T. Jaynes, *Probability Theory: The Logic of Science*. (Cambridge University Press, 2003)

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## Computational second laws:

- T.M. Cover, *Which processes satisfy the second law?* In: *Physical Origins of Time Asymmetry* (eds. J.J. Halliwell, J. Perez-Mercader, and W.H. Zurek), pp.98-107 (Cambridge University Press, 1996)
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- M. Horodecki and J. Oppenheim, *Fundamental limitations for quantum and nanoscale thermodynamics*. Nat. Commun. 4, 2059, (2013)
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